| PTO/SB/21 (0 | 4-04) |
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| TRANSMITTAL |
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| FORM        |

(to be used for all correspondence after initial filing)

| Application Number     | 10/792,173           |   |
|------------------------|----------------------|---|
| Filing Date            | March 2, 2004        |   |
| First Named Inventor   | Meade, Harry         |   |
| Art Unit               | 1632                 | ` |
| Examiner Name          | Deborah Crouch Ph.D. |   |
| Attorney Docket Number | 016994-010051US      |   |

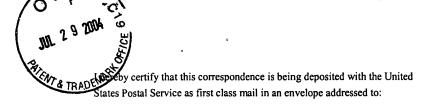
| Total Number of  | Fages in This Submission  |  |   |  |  |  |  |  |
|--|---|--|---|--|--|--|--|--|
|  |   | ENCLOSURES (Check all that an  | ply)  |  |  |  |  |  |
| Fee Trans  Fee Trans  Prelimina Notice to File Miss  A  Extension  Express A  Informatic  Certified C  Documen  Response | smittal Form  ee Attached  ary Amendment/Reply to sing Parts  fter Final  ffidavits/declaration(s)  of Time Request  Abandonment Request  on Disclosure Statement  Copy of Priority | ENCLOSURES (Check all that ap  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks  The Commissioner is auth Account 20-1430. | After Allowance Communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter |  |  |  |  |  |
|  | e Application esponse to Missing Parts nder 37 CFR 1.52 or 1.53   |  |   |  |  |  |  |  |
|  | SIGNA   | ATURE OF APPLICANT, ATTORNEY   | , OR AGENT  |  |  |  |  |  |
| Firm   | Townsend and Town   |  | •   |  |  |  |  |  |
| or<br>Individual name  | Joe Liebeschuetz  | Reg. No. 37,505  |   |  |  |  |  |  |
| Signature  | J. huline   | M  |   |  |  |  |  |  |
| Date   | July 26, 2004   |  |   |  |  |  |  |  |
|  |   | OFFICIAL OF TRANSMISSION/A   | TAIL INC  |  |  |  |  |  |
| <del>[</del>   |   | CERTIFICATE OF TRANSMISSION/N  | IAILING   |  |  |  |  |  |
|  |   | peing deposited with the United States Postal Se<br>atents, P.O. Box 1450, Alexandria, VA 22313-14   | rvice with sufficient postage as first class mail in an 450 on the date shown below.  |  |  |  |  |  |
| Typed or printed   | name D'Evelyn R. i  | Moran  |   |  |  |  |  |  |
| Signature  | 75.   | relyn R Myora  | Date July 26, 2004  |  |  |  |  |  |

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FEE TRANSMITTAL Complete if Known 10/792,173 Application Number for FY 2004 March 2, 2004 Filing Date Effective 10/01/2003. Patent fees are subject to annual revision. Meade, Harry First Named Inventor Deborah Crouch Ph.D. Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** 1632 Art Unit TOTAL AMOUNT OF PAYMENT 016994-010051US 385 Attorney Docket No.

|                           | MET          | THOD OF PA  | AYMENT (check all that app                | oly)           |          |             |             |             | FEE C     | ALCULATION (continued)  |             |
|---------------------------|--------------|---|---|----------------|----------|-------------|-------------|-------------|-----------|---|-------------|
| Check                     |              | Credit Card Money Order Other None 3. ADDITIONAL FEES |   |                |          |             |             |             |           |   |             |
| Deposit                   | _            |   |   | ,              |          | Large       | Entity      | Small       | Entity    |   |             |
| Deposit Account           |              | 20-143  |   |                |          | Fee<br>Code | Fee (\$)    | Fee<br>Code | Fee (\$)  | Fee Description   | Fee<br>Paid |
| Number                    |              | 20-143  | U   |                |          | 1051        | 130         | 2051        | 65        | Surcharge - late filing fee or oath                           |             |
| Deposit                   |              |   |   |                |          | 1052        | 50          | 2052        | 25        | Surcharge - late provisional filing fee or cover sheet.       |             |
| Account                   | þ            | Townsend  | and Townsend and Cre                      | ew LLP         |          | 1053        | 130         | 1053        | 130       | Non-English specification                                     |             |
| Name                      | L            |   |   |                |          | 1812        | 2,520       | 1812        | 2,520     | For filing a request for reexamination                        |             |
| K-3                       |              | -   | check all that apply)                     |                |          | 1804        | 920*        | 1804        | 920*      | Requesting publication of SIR prior to                        |             |
|                           |              | licated below   |   |                |          | 4005        | 4.0.40*     | 4005        | 4 0 4 0 + | Examiner action   | $\vdash$    |
| i= -                      | •            |   | or any underpayment of fee(               | S)             |          | 1805        | 1,840*      | 1805        | 1,840*    | Requesting publication of SIR after<br>Examiner action        |             |
| Charge for to the above-i |              |   | w, except for the filing fee              |                |          | 1251        | 110         | 2251        | 55        | Extension for reply within first month                        |             |
| to the above-             | dentine      |   | CALCULATION                               |                |          | 1252        | 420         | 2252        | 210       | Extension for reply within second month                       |             |
| 1. BASIC                  | FILIN        | G FEE   |   |                |          | 1253        | 950         | 2253        | 475       | Extension for reply within third month                        | -           |
| Large Entity              | _            | II Entity   |   |                |          | 1254        | 1.480       | 2254        | 740       | Extension for reply within fourth month                       |             |
| Fee Fee                   | Fee          | Fee   | Fee Description                           | Fee Paid       |          | 1201        | 1,100       |             |           |   |             |
| Code (\$)                 | Cod          |   |   |                | _ I      | 1255        | 2,010       | 2255        | 1,005     | Extension for reply within fifth month                        |             |
| 1001 770                  | 2001         |   | Utility filing fee                        | 385            | J        | 1401        | 330         | 2401        | 165       | Notice of Appeal  |             |
| 1002 340                  | 2002         |   | Design filing fee                         |                | -        | 1402        | 330         | 2402        | 165       | Filing a brief in support of an appeal                        |             |
| 1003 530                  | 2003         |   | Plant filing fee                          | <del></del>    | I        | 1403        | 290         | 2403        | 145       | Request for oral hearing                                      |             |
| 1004 770<br>1005 160      | 2004<br>2005 |   | Reissue filing fee Provisional filing fee |                | ┥┃       | 1451        | 1,510       | 1451        | 1,510     | Petition to institute a public use proceeding                 |             |
|                           | •            |   |   |                | _        | 1452        | 110         | 2452        | 55        | Petition to revive – unavoidable                              |             |
|                           |              | SUBTO   | TAL (1)                                   | (\$)385        | <b>-</b> | 1453        | 1,330       | 2453        | 665       | Petition to revive – unintentional                            |             |
| 2. EXTRA                  | CLAIN        | A FEES F  | OR UTILITY AND REIS                       | SUE            | - 1      | 1501        | 1,330       | 2501        | 665       | Utility issue fee (or reissue)                                |             |
|                           |              |   | Fee from                                  |                |          | 1502        | 480         | 2502        | 240       | Design issue fee  |             |
|                           |              | Ext   | tra Claims below                          | Fee Paid       |          | 1503        | 640         | 2503        | 320       | Plant issue fee   |             |
| Total Claims              | 10           | -20** = 0   | x <b>\$</b> 9                             | = \$0          |          | 1460        | 130         | 1460        | 130       | Petitions to the Commissioner                                 |             |
| Independent               |              | -3** = 0  | X\$43                                     | = \$0          | i l      | 1807        | 50          | 1807        | 50        | Petitions related to provisional applications                 |             |
| Claims<br>Multiple        |              |   |   | _              | ┥┃       | 1806        | 180         | 1806        | 180       | Submission of Information Disclosure<br>Stmt                  |             |
| Dependent                 |              |   | ٦   |                | J        | 8021        | 40          | 8021        | 40        | Recording each patent assignment per                          |             |
| Large Entity              |              | nall Entity   | <b>—</b>                                  |                |          |             |             |             |           | property (times number of properties)                         |             |
| Fee Fee<br>Code (\$)      | Co           | de (\$)   | ree Description                           | ***            |          | 1809        | 770         | 2809        | 385       | Filing a submission after final rejection (37 CFR § 1.129(a)) |             |
| 1202 18<br>1201 86        |              | 2202 9<br>2201 43                                     |   |                | I        | 1810        | 770         | 2810        | 385       | For each additional invention to be                           |             |
| 1201 86                   |              | 2201 43<br>2203 145                                   | •   |                |          |             |             |             |           | examined (37 CFR § 1.129(b))                                  |             |
| 1204 86                   |              | 2204 43   | ** Paissus indens                         | ndent claims   |          | 1801        | 770         | 2801        | 385       | Request for Continued Examination (RCE)                       |             |
| 1205 18                   |              | 2205 9  | ** Paiseus claims i                       | n excess of 20 |          | 1802        | 900         | 1802        | 900       | Request for expedited examination of a design application     |             |
|                           | •            | SH  | BTOTAL (2) (\$)0                          |                | ŋ        | Other fe    | e (specify) |             |           |   |             |
| **or number (             | previousl    |   | ter; For Reissues, see above              |                | J        |             |             |             | Fee Paid  | SUBTOTAL (3) (\$)   |             |
|                           |              |   |   |                |          |             | .,          |             |           | (*/   |             |

| SUBMITTED BY Complete (if applicable) |                  |                                   |        |           |               |  |  |
|---------------------------------------|------------------|-----------------------------------|--------|-----------|---------------|--|--|
| Name (Print/Type)                     | Joe Liebeschuetz | Registration No. (Attorney/Agent) | 37,505 | Telephone | 650-326-2400  |  |  |
| Signature                             | J. Cuelo         | which                             |        | Date      | July 26, 2004 |  |  |



PATENT Attorney Docket No.: 016994-010051US

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

On \_\_\_\_\_\_ July 26, 2004

TOWNSEND and TOWNSEND and CREW LLP

By: D'Evelyn R. Moran

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Meade et al.

Application No.: 10/792,173

Filed: March 2, 2004

For: EXPRESSION OF PROTEINS IN

**MILK** 

Customer No.: 20350

Confirmation No. 3697

Examiner:

Deborah Crouch Ph.D.

Technology Center/Art Unit: 1632

RESPONSE TO NOTICE TO FILE MISSING PARTS AND PRELIMINARY AMENDMENT

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts mailed May 24, 2004, please enter the following amendments and remarks:

Amendments to the Claims are reflected in the listing of claims which begin on page 2 of this paper.

Remarks/arguments begin on page 4 of this paper.



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PSO BOX 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/792,173

03/02/2004

Harry Meade

016994-010051US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 CONFIRMATION NO. 3697
FORMALITIES LETTER
\*OC000000012754248\*

Date Mailed: 05/24/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/30/2004 HDEMESS1 00000002 201430

10792173

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 02 FC:2051 385.00 DA 65.00 DA

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

# For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$145 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$595 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$145
  - \$145 for multiple dependent claim surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE